

Research Article



In Vitro Efficacy Studies for Evaluating the Activity of a Self-assembled Nanoemulsion Formulation of Paclitaxel on Breast and Ovarian Cancer Cells

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Abstract

The drug of choice for treating breast and ovarian cancers is usually paclitaxel. This study was designed to evaluate the efficacy of a self-assembled nanoemulsion (SANE) of paclitaxel (NFP) on human breast (MCF-7) and ovarian (OVCAR-3) cancer cell lines. Cells were treated with various doses (1.2 nM-1200 nM of paclitaxel) as the NFP or suspended in DMSO (PSD). While both significantly inhibited cell proliferation of MCF-7 at doses >40 nM, at 12 nM the NFP significantly inhibited cell proliferation (-57%; p < 0.05) greater than the PSD (-5%). With OVCAR-3 cells, doses >12 nM for both significantly inhibited cell proliferation, but at 4 nM, the NFP significantly inhibited cell proliferation (-60%; p < 0.05) greater than the PSD (-23%). These results demonstrate that the effect of NFP on the cell proliferation of MCF-7 and OVCAR-3 cells are significantly greater at a lower dose than the PSD and that the NFP exhibited similar mode of action by induction of apoptosis and cell cycle arrest as the PSD. Therefore a SANE formulation of Paclitaxel has the potential to be a promising delivery system for anti-cancer drugs.

Keywords: Nanoemulsion; Paclitaxel; Breast cancer cell line; Ovarian cancer cell line; Apoptosis

Introduction

Among cancer in women, breast cancer is one of the most frequently diagnosed [1-3]. However, along with breast cancer, ovarian cancer continues to be a challenge to treat despite many advances in anti-cancer drug therapy over the recent years [4, 5]. Taxanes, such as paclitaxel and docetaxel, are routinely used as chemotherapeutic agents in treating both breast and ovarian cancer [6]. Anti-cancer drugs are known for non-specific distribution in the body where they affect tumor, as well as, normal cells, thereby leading to significant complications and side effects, such as sub-optimal treatment, resulting in excessive toxicity [7, 8]. Paclitaxel is active across a broad spectrum of cancers but most especially against breast, ovarian, head and neck, and lung cancer [9, 10]. Several studies have confirmed the potent anti-tumor effects of paclitaxel, a microtubular inhibitor of cancer cells, by causing cell cycle arrest at G1 or G2/M that leads to double stranded DNA breaks leading to apoptosis and cell death [11, 12]. Traditionally, paclitaxel is used as a single agent or in combination with other chemotherapeutic agents [10, 13].

Paclitaxel is known for its hydrophobic properties, which results in the use of solubilizers to aid its administration [8, 14]. Conventionally, formulation vehicles such as Cremophor EL and ethanol are used for paclitaxel administration [10]. However, severe side effects are also associated with these vehicles, which limit the amount of drug that can be safely administered [15-17]. Although the paclitaxel regimen is one of the most effective in treating cancer, it is associated with non-specific toxicity [18]. There is a great need in the area of therapeutic agents used in the treatment of cancer to develop alternative delivery system, which can increase their therapeutic efficacy (either through increase bioavailability or delivery to tumor cells) while at the same time decreasing their toxicity (usually through decrease dose). This will lead to minimal side effects such as neurotoxicity and hypersensitivity usually caused by the vehicles delivering the agent or the necessity of using higher doses [19].

Recent advances in nanotechnology formulation has lead to exciting opportunities for specific drug delivery systems that will help overcome drug solubility issues [20, 21]. Over the past few years, our laboratory has utilized microfluidization [22] and self-assembled nanoemulsions (SANE) [23, 24] [patents pending] to study enhanced drug and nutrient delivery systems and efficacy in various in vitro and in vivo models. Nanoemulsions are a class of stable emulsions formed by monolayers of phospholipids composed of a surfactant and oil suspended in water. The nanoemulsions are often referred to as "Approaching Thermodynamic Stability" [25, 26]. Compared to typical suspension preparations which can be thousands of nanometers in size, nanoemulsion delivery systems utilized and reported from our lab, as well as those of others, with particle sizes approximately 100 nm or less have been shown to increase bioavailability and efficacy in vivo of delta and gamma tocopherol, aspirin, an antioxidant synergy formulation (ASF), and dacarbazine [22, 24, 26,].

Taking into consideration the issues related to paclitaxel delivery and its vehicles, developing a nanotechnology-based formulation for drug delivery which could still maintain the efficacy of paclitaxel and increase the specificity of the drug would be ideal. Additionally, if a blank nanoformulation (contains no drug) would exhibit no or minimal activity against cells, especially normal cells, then these nanoemulsions could be a strong alternative drug delivery system. The SANE formulation of paclitaxel was well characterized in our previous report [27]. The present investigation was undertaken to evaluate the biological activity of paclitaxel encapsulated utilizing our SANE formulation on cell proliferation, cell cycle arrest, and apoptotic activity on a human breast cancer and ovarian cancer cell lines.

Methods

Cell culture lines, growth conditions, and reagents

The human breast cancer cell line, MCF-7, and ovarian cancer cell line, OVCAR-3, were purchased from American Type Culture Collection (ATCC, Manassas, VA). These human cultured tumor cell lines were propagated at 37°C in 5% $CO_2/95\%$ O_2 using ATCC-formulated RPMI-1640 Medium and 10% (v/v) fetal bovine serum (FBS).

Nanoemulsion and suspension formulations

The SANE formulation (NFP) was made by dissolving 9 milligrams of paclitaxel (Sigma Aldrich, St Louis, MO) in two grams of rice bran oil (Tsuno, Japan) in a beaker heated @ 50 -60°C and stirred for 5 min using a magnetic stirrer. Four grams of Solutol HS was added, the mixture was heated and stirred again for 5 min at 60°C until the three components formed a homogeneous mixture. Distilled water was added to a final volume of 25 mL while the mixture was stirred at 60°C. During heating, when the phase inversion zone (PIT or HLB) temperature of the system is reached (65-70°C), the surfactant is in equilibrium with the oil and water phases. Heating and stirring was then continued beyond the PIT up to 80°C. At this temperature the system is inverted into a waterin-oil emulsion. The emulsion was then cooled to room temperature to obtain an oil-in-water emulsion. A blank nanoemulsion was also formulated the same way except no paclitaxel was added to the rice bran oil. The suspension (PSD) was made by dissolving the same amount of paclitaxel in DMSO. To make the various doses of NFP and PSD, the original stock formulations of each were serial diluted into the cell culture media prior to each experiment.

Particle size, morphology and encapsulation of formulations

The viscosity of the PSD and NFP stock formulations were measured using an Ubbelohde Viscometer (VWR International, Boston MA, USA) at 25°C. For measurement of the mean droplet size and polydispersity index (width of the particle size distribution) a dynamic laser light scattering Malvern Zetasizer Nanoseries Nano-ZS instrument (Malvern Instruments Inc. Southborough, MA, USA) was used. Transmission electron microscope (TEM) (Philips EM400T) was performed in order to observe the morphology of nanoemulsion. The nanoemulsion was diluted in the ratio of 1:200 with distill ionized water and one drop of the dilution was taken and placed into the carbon attached Vinylec Films (Ernest F. Fullam, Inc. Latham NY). The excess liquid was removed with filter paper and the film was allowed to stand for 10 min. After, the grid was stained with 10% phosphotungstic acid (Sigma Aldrich, St Louis MO) and allowed to dry for 5 min. The sample was then placed in the vacuum chambers for 30 min before TEM analysis.

Paclitaxel was analyzed by HPLC (Agilent 1100 series, Santa Clara, California, USA), composed of a G1311A quaternary pump, G1313A autosampler, G1322A vacuum degasser, solvent module, HP Chemstation and computer control, a G1315A diode array detector using a reverse phase Zorbax C18 column (250×4 mm, 5 um) at room temperature. The detector was set at 227 nm and injection volume was 20 µL. The mobile phase was a mixture of acetonitrile and water (50:50 v/v) at flow rate of 1 ml/min. Both the PSD and the NFP were originally diluted in 1 mL of acetonitrile and then further dilutions were made using the mobile phase.

In Vitro cytoxicity assay

Cell number and proliferation were assessed using MTS reagent (Promega Corporation, Madison, Wisconsin USA), according to the manufacturer's instructions. Cultured cells were plated at a density of 5000 cells per well into 96-well, flat-bottomed tissue culture plates and cultured overnight at 37°C in 5% $CO_2/95\%$ O₂. MCF-7 cells and OVCAR-3 cells were each treated with 1.2 nM, 4 nM, 12 nM, 40 nM, 120 nM, 400 nM, or 1,200 nM, of paclitaxel as the NFP or PSD. Untreated cells and a blank nanoemulsion were used as controls. After 72 hr incubation, MTS reagent

was added, incubated for an additional 2 hr, and then the cell plates were measured at a wavelength of 490 nm using SpectraMAX microplate reader (Molecular Devices, Sunnyvale, California). Cell inhibition was calculated using the following equation: Cell Inhibition (%) = (1- (Abs_s/Abs_{ctrl})) *100 where Abs_s is the absorbance of the cells tested with the NFP, PSD, or blank nanoemulsion formulations and Abs_{ctrl} is the absorbance of untreated cells.

Cell cycle

Briefly, MCF-7 and OVCAR-3 cells were seeded 2×10^5 cells per well into a six-well plate. The next day, cells were treated with 1.2 µM of paclitaxel as either NFP or PSD for 48 hr. Untreated cells and cells treated with the blank nanoemulsion were used as controls. After treatment, the cells were washed with PBS, trypsinized and fixed in cold ethanol for 2 hr. Cells were then washed and resuspended in PBS + 100 µg/mL of propidium iodide (Invitrogen, Carlsbad CA) and 40 µg/mL RNase A (Sigma Aldrich, St Louis, MO) for 30 min in a dark at room temperature. Cells were run on a Flow Cytometer (BD Biosciences, San Jose California). When bound to cellular nucleic acid, propidium iodide has an excitation maximum at 535 nm and emission at 617 nm. Cell populations were analyzed using Modfit LT 3.0 software to determine percentages of cells in G1 or G2/M phase.

Caspase-Glo[®] 3/7 activity assay

Caspase-Glo® 3/7 assay kit (Promega, Madison, WI) was used to measure caspase activity. This assay detects caspase-3 and caspase-7 activity, which are used as an indication of apoptosis. The caspase3/7 activity is measured by addition of luminogenic caspase-3/7 substrate, which is cleaved by the enzymes caspase-3/7 to release a substrate for luciferase resulting in the luciferase reaction. Briefly, OVCAR-3 cells (4000 cells per well) were seeded in white bottom tissue culture treated 96-well plate and incubated for 24 hr at 37°C in 5% CO₂/95% O₂. After 24 hr, OVCAR-3 cells were treated with 40 nM, 120 NM, 400 nM, or 1200 nM of paclitaxel as the NFP or PSD and further incubated for 24 hr, 48 hr or 72 hr. Untreated cells and cells treated with the blank nanoemulsion were used as controls. One hundred µL of caspase-3/7 reagent was added to each well and incubated for 1 hr in the dark at room temperature. Luminescence for each well was recorded to measure caspase-3/7 activity.

Statistical analysis

SigmaStat software was used for all statistical evaluations (Jandel Scientific, San Rafael, CA, USA). Either a one-way analysis of variance (ANOVA) or repeated measures (RM) ANOVA was used to analyze the data. When statistical significance was found by ANOVA, the Student–Newman–Keuls separation of means was used to determine treatment differences. All values are expressed as mean \pm SD. Statistical significance was set at the minimum p < 0.05. Three separate experiments were carried out each in triplicate for each measurement.

Results

NFP and PSD formulation characteristics

The NFP stock formulation of paclitaxel exhibited a particle size of 20 nm, a zeta potential of 1 mV, and a polydiversity index (PDI) of 0.1. The particle size of the stock PSD formulation was greater than the capability of the instrument to measure (> $6 \mu m$). Since the stock formulations of the NFP and PSD were diluted with cell culture media with the cells; particle sizes, zeta potentials, and PDI were not measured for each of the doses of NFP and PSD. In addition, the morphology of the PSD was characterized by TEM analysis and the particle size was approximately the same as hydrodynamic diameter measured by the light scattering instrument. TEM images also indicated that the NFP nanoemulsions were evenly distributed and spherical in shape. TEM was not performed on the PSD since it was a suspension of paclitaxel in DMSO and the particle sizes were very large. The encapsulation efficiency of paclitaxel in the PSD was approximately 87%. Since the PSD does not form emulsions, no encapsulation efficiency was performed.

Effect of NFP and PSD on cell proliferation of MCF-7 and OVCAR-3 cells

While both the NFP and PSD significantly inhibited cell proliferation of MCF-7 similarly (-60%; p < 0.05) at doses between 40-1200 nM of paclitaxel, 12 nM of paclitaxel as the NFP significantly inhibited cell proliferation (-57%; p < 0.05) greater than the PSD (-5%; not significant) relative to untreated or blank nanoemulsion-treated cells (Figure 1(a)). At doses of 4 and 1.2 nM of paclitaxel as the NFP and PSD, a non-significant change in percent inhibition of cell



Fig. 1 (a) Percent inhibition of cell proliferation of MCF-7 cells after 72 hr of treatment. Data is expressed as mean \pm SD of three independent experiments performed in triplicate. * Represents a significant difference at p < 0.05 when PSD treated cells are compared to untreated cells. ** Represents a significant difference at p < 0.05 when NFP treated cells are compared to untreated cells. *** Represents a significant difference at p < 0.05 between NFP and PSD at 12 nM of Paclitaxel; (b) Percent inhibition of cell proliferation of OVCAR-3 cells after 72 hr of treatment. Data is expressed as mean \pm SD of three independent experiments performed in triplicate. * Represents a significant difference at p < 0.05 when PSD treated cells are compared to untreated cells. ** Represents a significant difference at p < 0.05 when NFP treated cells are compared to untreated cells. *** Represents a significant difference at p < 0.05 between NFP and PSD at 4 nM of Paclitaxel.

proliferation of MCF-7 was observed compared to controls (Figure 1(a)). Untreated cells and the blank nanoemulsion-treated cells were not different from each other for percent inhibition of cell proliferation of MCF-7. An IC50 value of 12 nM of paclitaxel was calculated when the MCF-7 cells were treated with the NFP formulation as compared to 110 nM of paclitaxel when the MCF-7 cells were treated with the PSD formulation.

Similar results were observed with OVCAR-3 cells where at doses between 12–1,200 nM of paclitaxel, both the NFP and PSD formulations

significantly inhibited cell proliferation (-60%; p < 0.05), but at a dose of 4 nM of paclitaxel, the NFP formulation significantly inhibited cell proliferation (-60%; p < 0.05) greater than the PSD formulation (-26%; not significant) relative to untreated and blank nanoemulsion-treated cells (Figure 1(b)). At dose of 1.2 nM of paclitaxel as the NFP and PSD, a non-significant change in percent inhibition of cell proliferation of OVCAR-3 cells was observed compared to controls (Figure 1(b)). Untreated cells and cells treated with the blank nanoemulsion were not different for cell inhibition of cell proliferation. A comparable IC50 value was calculated of 2 nM and 9 nM of Paclitaxel when OVCAR-3 cells were treated with NFP and PSD respectively.

Activation of Caspase 3/7

The induction of apoptosis was studied by looking at the activation of caspase-3/7 with NFP- and PSDtreated OVCAR-3 cells. A consistent two-fold significant increase (p < 0.05) in caspase-3/7 activation was observed between OVCAR-3 cells treated with 1,200 nM of paclitaxel as the NFP or PSD when compared with untreated or blank nanoemulsiontreated cells after treatment for 48 hr (Figure 2). OVCAR-3 cells demonstrated maximum activation of caspase-3/7 at both 48 hr and 72 hr of treatment as compared to 24 hr when treated with 1,200 nM of paclitaxel as the NFP and PSD (Figure 2). After 72 hr treatment with NFP and PSD a significant activation (p < 0.05) of caspase-3/7 was observed when OVCAR-3 cells were treated with doses of 4 nM, 12 nM, 40 nM, 120 nM, 400 nM, and 1,200 nM of paclitaxel compared to controls (Figure 2). There was no indication of caspase-3/7 activation in untreated cells, cells treated



Fig. 2 Caspase-3/7 activity on OVCAR-3 cells after 24, 48, and 72 hr of treatment. Data is expressed as mean \pm SD of three independent experiments performed in triplicate. * Represents a significant difference at p < 0.05 when NFP and PSD treated cells are compared to untreated cells.

with the blank nanoemulsion, or treated with any dose of paclitaxel as the NFP or PSD after 24 hr of treatment (Figure 2).

Cell cycle analysis

To determine whether the growth inhibitory effects of NFP were cell cycle dependent, flow cytometric studies were conducted. MCF-7 and OVCAR-3 cells were exposed to 1,200 nM of paclitaxel as the NFP and PSD for 48 hr and cell number arrest in each phase of the cell cycle; G1, G2, and S phase, was examined. Treatment of MCF-7 cells with 1,200 nM of paclitaxel as the NFP (66%; p < 0.05) or the PSD (94.05%; p < 0.05) resulted in G2/M cell cycle arrest compared to untreated cells, which demonstrated a G2/M arrest of 20% for the nanoemulsion blank-treated cells and untreated cells, respectively (Figure 3(a)). The NFP and PSD were not significantly different from each other (Figure 3(a)).

Treatment with 1,200 nM of paclitaxel as the NFP on OVCAR-3 cells resulted in 93% (p < 0.05) G2/ M cell cycle arrest. This is similar to the treatment of OVCAR-3 cells at the same dose of paclitaxel as the PSD, which resulted in 96% (p < 0.05) G2/M cell cycle arrest compared to untreated cells, which demonstrated 23.54% and 25.5% G2/M cell cycle arrest with the nanoemulsion blank-treated cells and untreated cells, respectively (Figure 3(b)). The NFP and PSD were not significantly different from each other (Figure 3(b)).

Discussion

Traditionally, chemotherapeutic drugs for cancer treatment are administered through oral, intravenous, or intramuscular routes. Paclitaxel is a chemotherapeutic drug with significant anti-tumor activity and is used alone or in combination for treatment of breast, ovarian, and head and neck cancer [10, 13, 28]. Paclitaxel is however very hydrophobic and is traditionally formulated in the castor oil derived solvent, Cremophor EL, and ethanol. Cremophor EL is associated with toxicity and some acute hypersensitive reactions such as flushing, rash, chest pain and tachycardia [17]. Additionally, it is also known to form micelles in aqueous solution which in turn leads to ineffective administration of paclitaxel to the tumor [17, 29, 30]. In recent years paclitaxel has been delivered in an albumin bound formulation (Abraxane®) used in breast cancer treatment [31]. Over the years, many



Fig. 3 Percent cell cycle phase distribution of MCF-7 (a) and OVCAR-3 (b) after 48 hr of treatment. The data is expressed is mean \pm SD of three independent experiments performed in triplicate. * Represents a significant difference at p < 0.05 when cells treated with NFP and PSD are compared to untreated cells.

investigators have been trying to develop paclitaxel nanoformulations that retain the anti-tumor properties of paclitaxel but reduce the non-specific toxicity related to the vehicles in which paclitaxel is delivered [32-35]. Many studies have shown that nanotechnology has a great potential not only to improve the characteristics of drugs that are hydrophobic and require the use of toxic solvents for delivery, but also increases the specificity of drugs by increasing the delivery of the drug to the targeted sites [20, 36-39].

Even though the drug is stabilized and protected in the nanoemulsion, due to unfavorable conditions of the formulation, the encapsulated drug can lose its integrity, which in turn leads to decreased biological activity [40]. The success of a drug delivery system depends not only on retaining the biological activity of the encapsulated drug but also enhancing its efficacy at lower doses, maintaining the potency of the drug even after encapsulation into a nanoemulsion system relative to its suspension form, and exhibiting the same mode of action as its suspension form. The efficacy of the nanoemulsion formulation of an anti-cancer drug, such as paclitaxel, would be measured by its cytotoxic activity, apoptotic activities, and cell cycle arrest on cancer cells after formulation.

In this study, we investigated the anti-proliferative effects of a self-assembled nanoemulsion formulation (NFP) of paclitaxel having a particle size of 20 nm and zeta potential of 1 mV on a human breast cancer, MCF-7, and a human ovarian cancer, OVCAR-3, cell lines compared a suspension of paclitaxel in DMSO (PSD). Treatment with NFP and PSD induced substantial growth inhibition in both the breast cancer and ovarian cancer cell lines. However, treatment with NFP at 12 nM of paclitaxel in MCF-7 and at 4 nM in OVCAR-3 cells induced a 9-fold and 3-fold greater inhibitory effect than the cells treated with the same doses of paclitaxel as the PSD indicating that a lesser amount of the drug may be needed to induce similar or better antiproliferative effects when the drug is encapsulated as a nanoemulsion. Importantly, a blank nanoemulsion had no effect on MCF-7 and OVCAR-3 cells, suggesting that NFP induces selective growth inhibitory effects in MCF-7 and OVCAR-3 cells due to the presence of paclitaxel. Therefore NFP inhibits cell proliferation by lowering the minimal effective dose required for cancer treatment. It is possible that the NFP has greater efficacy than the PSD at these lower doses

because more paclitaxel is being delivered into the cell through the NFP nanoemulsion due to either smaller particle size and/or greater stability of the drug as a nanoemulsion or for some other unknown mechanism. It is important to note, that at higher doses, both the NFP and PSD showed similar cytotoxic effects on both cell lines. These results are similar to previous work by our laboratory (25,26) with the chemotherapeutic drugs dacarbazine and tamoxifen, which also showed greater efficacy when utilized as a nanoemulsion formulation as compared to their suspension formulations in both a MCF-7 cancer cell line and a xenograft mouse model of melanoma.

To further elucidate the mechanisms of enhanced cytotoxicity by NFP, we studied the apoptotic effects of NFP and PSD on OVCAR-3 cells. Apoptosis is associated with various proteins, including caspase-3 and -7, which are essential downstream effector caspases involved in this pathway [41, 42]. Caspase -3 and -7 are responsible for the morphological and biochemical changes that are typical characteristics of apoptotic cell death [41]. Therefore, caspase-3 and -7 activation are a common means to study the apoptosis pathway in various cell lines. Previous work [43] has demonstrated that MCF-7 does not express caspase-3 activity and hence, in this study we assessed the activation of caspase-3 and -7 after treatments only on OVCAR-3 cells. Both NFP and PSD at all doses of paclitaxel treatment of OVCAR-3 cells induced apoptosis at 48 hr and were sustained at 72 hr. Thus, showing that a nanoemulsion of paclitaxel retains the same mode and amount of apoptotic activity as a suspension of paclitaxel and is similar to the apoptotic activity observed in previous studies [42, 44, 45]. No apoptotic activity was observed in cells treated with the blank nanoemulsion, confirming that the anti-cancer mechanism of the NFP is related to the presence of paclitaxel and not due to the other components of the nanoemulsion formulation.

This study also examined the effect of encapsulation of paclitaxel into the nanoemulsion on cell cycle arrest. Paclitaxel has previously been shown to arrest the cell cycle at the G1 or G2 phases followed by apoptosis [46]. Here, the percent number of cells in G1, G2, and S phases was measured following treatment with NFP and PSD at 1,200 nM of paclitaxel for 48 hr. This demonstrated that NFP treatment of MCF-7 and OVCAR-3 resulted in a marked increase of cells in G2 arrest that is similar to treatment with paclitaxel as the PSD. In contrast, cells treated with the blank nanoemulsion showed a 3-fold decrease in the number of cells in G2 arrest. Notably, the data showed similar results between the nanoemulsion formulation and suspension of paclitaxel, again suggesting the specificity of Paclitaxel presence in the nanoemulsion formulation.

Conclusions

This study provides evidence that a self-assembled nanoemulsion formulation of paclitaxel effectively inhibits cell growth of both breast and ovarian cancer cells at lower doses compared to a suspension of paclitaxel while inducing similar drug related apoptotic activity in ovarian cancer cells. Moreover, this selfassembled nanoemulsion formulation of paclitaxel triggers a significant G2/M cell cycle arrest indicating that the anti-cancer activity is related to the inhibition of mitosis, which is a typical characteristic of paclitaxel induced cell proliferation inhibition. Therefore we speculate that this formulation has a potential for being a good drug delivery system for anti-cancer drugs such as paclitaxel. Further investigation of NFP treatment on in vivo cancer models is required for better understanding of therapeutic efficacy.

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